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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/057,826	
	Filing Date	January 24, 2002	
	First Named Inventor	Tucker, Charles E.	
	Group Art Unit	1625	
	Examiner Name	Celia C. Chang	
Total Number of Pages in This Submission	7	Attorney Docket Number	021153-001400US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <div>Remarks</div>	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard Request for Corrected Filing Receipt (w/copy of Filing Receipt) Supplemental ADS Letter

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm and Individual name	Townsend and Townsend and Crew LLP William B. Kezer Reg. No. 37,369
Signature	
Date	3-28-03

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Typed or printed name	Jennifer K. Hardin
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Date	3/28/03

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PATENT
Attorney Docket No.: 021153-001400US

on 3/28/03

By: Genji K. Hadi

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Charles E. Tucker

Application No.: 10/057,826

Filed: January 24, 2002

For: **PROCESS FOR PREPARATION ON
NONRACEMIC SYN-1-(4-HYDROXY-PHENYL)-2-(4-
HYDROXY-4-PHENYL-PIPERIDIN-1-YL)-1
PROPANOL COMPOUNDS**

Examiner: Celia C. Chang

Art Unit: 1625

LETTER

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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Sir:

According to MPEP 601.05, enclosed is a Supplemental Application Data Sheet requesting correction of Assignment For Published Patent Application for the above-referenced application. Please correct the Assignee to read as follows:

**DSM CATALYTICA PHARMACEUTICALS, INC., MOUNTAIN VIEW, CA
and PFIZER INC., NEW YORK, NEW YORK**

Also, enclosed is a Request For Corrected Filing Receipt.

Respectfully submitted,

William B. Kezer
Reg. No. 37,369

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200 /Fax: (415) 576-0300
WBK/jkh

Assignee Information

Assignee Name:: DSM CATALYTICA PHARMACEUTICALS, INC.
Street of mailing address:: 430 FERGUSON DRIVE
City of mailing address:: MOUNTAIN VIEW
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94043

Assignee Name:: PFIZER, INC
Street of mailing address:: 235 East 42nd Street
City of mailing address:: New York
State or Province of mailing address:: New York
Country of mailing address:: US
Postal or Zip Code of mailing address:: 10017

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on 3/28/03

PATENT
Attorney Docket No.: 021153-001400US

By: Jennifer K. Hendri

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Charles E. Tucker and Qiongzong
Jiang

Application No.: 10/057,826

Filed: January 24, 2002

For: PROCESS FOR THE PREPARATION OF
NONRACEMIC SYN-1-(4-HYDROXY-PHENYL)-2-(4-
HYDROXY-4-PHENYL-PIPERIDIN-1-YL)-1-
PROPANOL COMPOUNDS

Examiner: Celia C. Chang

Art Unit: 1625

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REQUEST FOR CORRECTED FILING
RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that of the Assignment For Published Patent Application has changed to two Assignees. Please correct the Filing Receipt to read as follows:

DSM CATALYTICA PHARMACEUTICALS, INC., MOUNTAIN
VIEW, CA and PFIZER INC, NEW YORK, NEW YORK

The correction is due to applicant change in number of assignees. Applicants believe that no fee is due. However if fees are due please charge Deposit Account No. 20-1430. Please charge any additional fees or credit overpayment to the above-noted Deposit Account.

Respectfully submitted,

William B. Kezer

William B. Kezer
Reg. No. 37,369

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
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UNITED STATES PATENT AND TRADEMARK OFFICE

021153-001400US WBK

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/057,826	01/24/2002	1621	870	021153-001400US		20	3

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 4198

UPDATED FILING RECEIPT



OC00000008459179

JUL 31 2002

TOWNSEND AND TOWNSEND
AND CREW LLP

Date Mailed: 07/16/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Charles E. Tucker, Superior, CO;
Qiongzong Jiang, Sunnyvale, CA;

Assignment For Published Patent Application

DSM CATALYTICA PHARMACEUTICALS, INC., MOUNTAIN VIEW, CA, and
PFIZER INC., NEW YORK, NEW YORK

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/21/2002

Projected Publication Date: 07/24/2003

Non-Publication Request: No

Early Publication Request: No

Title

Process for the preparation of nonracemic syn-1-(4-hydroxy-phenyl)-2-(4-hydroxy-4-phenyl - piperidin-1-yl)-1-propanol compounds

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Preliminary Class

568

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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